

RECEIVED

JUN 28 2002

TECH CENTER 1600/2900

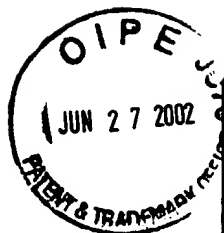
Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 42200**First Named Inventor** McCormick, Alison**COMPLETE IF KNOWN****Application Number** 09 / 522,900**Filing Date** 10 March 2000**Group Art Unit** 1642**Examiner Name** Geetha Bansal**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SELF ANTIGEN VACCINES FOR TREATING B CELL LYMPHOMAS AND OTHER
CANCERS**

the specification of which (Title of the Invention)

☐ is attached hereto
OR☒ was filed on (MM/DD/YYYY) 03/10/2000 as United States Application Number or PCT International

Application Number 09/522,900 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/155,979	09/24/1999	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Customer Number

001609

Place Customer
Number Bar Code
Label here

OR

☐ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label

001609

OR ☐ Correspondence address below

Name	Dean H. Nakamura				
Address	Roylance Abrams Berdo & Goodman LLP				
Address	1300 19th Street, NW				
City	Washington	State	DC	ZIP	20036
Country	USA	Telephone	202-659-9076	Fax	202-659-9344

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Alison		McCormick			
Inventor's Signature				Date	5-13-02
Residence: City	Vacaville	State	CA	Country	USA
				Citizenship	USA
Post Office Address	7031 Jenny Lane				
Post Office Address					
City	Vacaville	State	CA	ZIP	95688
				Country	USA

☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

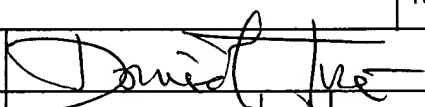
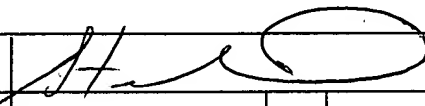
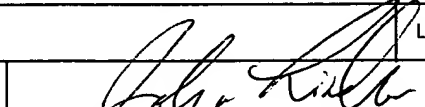
PTO/SB/02A (3-97)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page ___ of ___

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
Daniel				Tusé				
Inventor's Signature					Date		5/13/02	
Residence: City		Vacaville	State	CA	Country	USA	Citizenship	USA
Post Office Address		7955 Spencer Lane						
Post Office Address								
City		Vacaville	State	CA	ZIP	95688	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
Stephen J.				Reini				
Inventor's Signature					Date		5/14/02	
Residence: City		Sacramento	State	CA	Country	USA	Citizenship	USA
Post Office Address		943 Piedmont Drive						
Post Office Address								
City		Sacramento	State	CA	ZIP	95822	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
John A.				Lindbo				
Inventor's Signature					Date		5/14/02	
Residence: City		Vacaville	State	CA	Country	USA	Citizenship	USA
Post Office Address		143 Sundance Drive						
Post Office Address								
City		Vacaville	State	CA	ZIP	95688	Country	USA

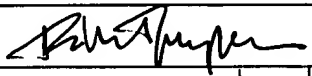
Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (3-97)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page ____ of ____
--------------------	---

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Thomas H.				Turpen			
Inventor's Signature						Date	
Residence: City	Dallas	State	TX	Country	USA	Citizenship	USA
Post Office Address	3200 Maple Avenue, Apartment 320						
Post Office Address							
City	Dallas	State	TX	ZIP	75201	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



42200



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alison A. McCormick et al.

Serial No. 09/522,900

Filed: 10 March 2000

For: Self Antigen Vaccines for Treating B Cell
Lymphomas And Other Cancers

:
:
:
:
:
:
:
:
:
:
:
:

Art Unit: 1642

Examiner: Geetha Bansal

RECEIVED

JUN 28 2002

TECH CENTER 1600/2900

DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We, the undersigned do hereby declare and state:

(1) We are the inventors of the above-captioned application, as evidenced by the Declaration previously filed and the Substitute Declaration attached hereto.

(2) Some of us are co-authors of the McCormick et al., Proceedings of the National Academy of Sciences, article appearing in Volume 96 at pages 703-308.

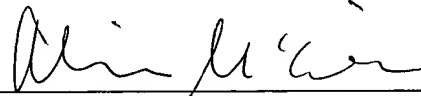

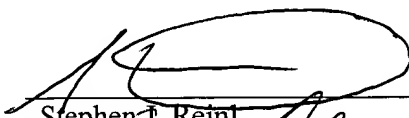
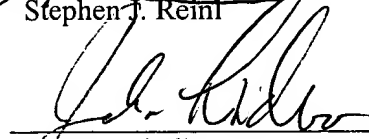

(3) Monto Kumagai, Kathleen Hanley, Itzhak Hakim, Laurence Grill, Shoshana Levy and Ronald Levy either worked under our direction or provided starting materials.

(4) Monto Kumagai, Kathleen Hanley, Itzhak Hakim, Laurence Grill, Shoshana Levy and Ronald Levy are not inventors of the subject matter disclosed in the instant application.

DECLARATION
Serial No. 09/522,900

The undersigned declare further that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Further Declarants sayeth not.

<u>5-13-08</u> Date	<u></u> Alison McCormick
<u>5-13-02</u> Date	<u></u> Daniel Tuse
<u>5-14-02</u> Date	<u></u> Stephen J. Reini
<u>5/14/02</u> Date	<u></u> John A. Lindbo
<u>5/10/02</u> Date	<u></u> Thomas H. Turpen